



Date: 28/07/2020

To,  
The General Manager,  
Department of Corporate Services,  
Bombay Stock Exchange Limited,  
1st Floor, New Trading Ring,  
Rotunda Bldg, P.J. Tower,  
Dalal Street,  
Mumbai – 400001

Ref: BSE Stock Code: 531051

Dear Sir,

**Sub:- Annual Secretarial Compliance Report for the Year ended 31<sup>st</sup> March 2020.**

**Ref: SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019**

Pursuant to SEBI Circular No. CIR/CFD/CMD1/27/2019 dated 8<sup>th</sup> February, 2019, we are enclosing herewith the Annual Secretarial Compliance Report of the Company issued from Miss. Shruti Agarwal, Practising Company Secretary, for the financial year ended 31<sup>st</sup> March, 2020.

This is for your kind information and record.

Thanking you,

Yours faithfully,

  
Vintage Securities Limited  
KOLKATA

Name: Dimesh Kumar Pandey  
Designation: Director  
DIN: 01676842

***Vintage Securities Limited***  
(CIN No. L74120WB1994PLC063991)

Regd & Head Office: 58/3, B.R. B. Basu Road, Kolkata- 700 001 (West Bengal)

Ph. No. 033-2235-2311 Fax No. 033-2249-5656

website: [www.vintage-securities.com](http://www.vintage-securities.com) e-mail: [vintage.capital@gmail.com](mailto:vintage.capital@gmail.com)



**Secretarial Compliance Report of Vintage Securities Limited**  
**for the financial year ended 31<sup>st</sup> March, 2020**

**[Pursuant to Regulation 24A of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 8, 2019]**

To,  
**Vintage Securities Limited**  
58/3, B.R.B. Basu Road, 1<sup>st</sup> Floor  
Kolkata- 700001

I, Shruti Agarwal, Practicing Company Secretary, have examined:

- (a) all the documents and records made available to us and explanation provided by Vintage Securities Limited ("Listed Entity"),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended 31<sup>st</sup> March, 2020 ("Review Period") in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations, circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India ("SEBI");

**The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-**

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (c) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

**Based on the above examination, I hereby report that, during the Review Period:**

- (a) The listed entity has complied with the provisions of the above applicable Regulations and circulars/ guidelines issued thereunder during the period under review.
- (b) The Listed Entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from our examination of those records on sample basis.



(c) There were no any actions taken against the Listed Entity/ its promoters/directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

(d) The Listed Entity has taken the actions to comply with the observations made in previous reports: Not applicable.

*Note:1) Provide the list of all the observations in the report for the previous year along with the actions taken by the Listed Entity on those observations- Nil.*

*2) Add the list of all observations in the reports pertaining to the periods prior to the previous year in case the entity has not taken sufficient steps to address the concerns raised/ observations- Not Applicable.*

**Note:** My attendance for the purpose of physical verification and examination of the records of the company was impracticable due to lockdown restrictions imposed by the Government due to COVID-19 pandemic and I have, therefore, relied on the electronic data as provided by the company and from the website of Stock Exchanges to gather information and proper records as necessary for verification.

Place: Kolkata  
Date: 23.07.2020  
UDIN: A038797B000514734



Shrut Agarwal  
Practicing Company Secretary  
ACS No. : 38797  
C P No.: 14602